

Administrative Simplification Task Force

March 14, 2024

**RHODE
ISLAND**

Agenda:

1. Task Force Review
 - a) Problem Statement
 - b) CTC-RI Recommendations
 - c) Straw Model Proposal
 - d) Insurer Data Review
 - e) OHIC's Powers and Duties Statute
 - f) Provider Response to Statute Provisions
2. Next Steps
3. Public Comment

Task Force Problem Statement:

“Prior authorization is a form of utilization management that has an important role to play in the provision of medically necessary care under health benefit plans. However, health care providers and those speaking from the patient perspective, have articulated reasonable concerns with the application of prior authorization and the resulting burdens placed on those involved in the provision of patient care.”

Provider View:

- Increased administrative burden
- Increased operating costs
- Potential jeopardizing of patient safety
- Contributor to clinician burnout

Patients' View:

Experience of care can be materially and adversely impacted when the application of prior authorization creates real, or perceived, barriers and delays in accessing care.

Payor View:

- Utilization management tool promoting evidence-based care
- Reduced wasteful spending
- Promoting patient safety and affordability for health care purchasers

CTC-RI Recommendations:



Reduce the prior authorization volume

Two considerations:

- 1) Reducing overall number of prior authorizations
- 2) Reducing the prior authorizations burden for providers by way of improved processes.

Reducing the number of prior authorizations based on history of use in fully-insured commercial plans; target of 20%.



Improve the prior authorization data collection

To measure reduction, baseline data must be made available. Ad hoc group to gather data of:

- Rate of prior authorizations per insured member
- Prior authorizations approved and those approved with modification
- Method of reporting the specific service codes that require prior authorization by a plan.



Create on-going statewide advisory committees

The creation of a statewide steering committee to improve simplification, facilitate communication and collaboration, and develop methods. This committee would oversee two additional committees, the Medical Services Committee and Pharmaceutical Services Committee who will review PA's for burden reduction and simplification and report recommendations & methodologies to the advisory steering committee.



Evaluate therapeutic substitutions at the pharmacy

Convene a workgroup led by CTC-RI and URI College of Pharmacy to evaluate possible change in legislation allowing therapeutic interchange. Made up of pharmacists in retail outlets, pharmacies, plans and hospital pharmacists experienced with therapeutic substitution processes. *This recommendation is not anticipated to be per se part of the Administrative Simplification processes of the OHIC.*



Implement technologies that improve the process

Existing agencies such as the HIT Steering Committee should be leveraged to monitor and report on technology changes and compliance with state and federal requirements associated with prior authorization. Identification of a workgroup potentially within the HIT steering committee to meet twice annually to review changes and facilitate implementation of enhancements is ideal.



Identify & reduce processes that are 'PA-like'

There are many communications, requirements, etc. that should be appropriately considered for burden reduction, reasonably classified in the rubric as PA or 'PA-like' and should be considered for burden reduction by any entity overseeing the PA process, even if not subject to regulation. These processes are felt to be among the most burdensome.

Straw Model Proposal:

Part A

Remove prior authorization requirements from all medical services meeting the following criteria:

Average threshold approval of 95% +
&
Average cost of \$25,000 or less

Part B

Remove prior authorization requirements for all in-network behavioral health services.

Conversations surrounding the straw model proposal indicate the necessary inclusion of volume, and safety/health concerns as necessary components within the parameters of the proposal.

Data Review: Insurer 1

Code	Description	Cost	# of Requests	# of Requests Approved	Difference
93306	Echocardiography, transthoracic, real-time with image	Not reported	9,055	8,783	272
73721	MRI, Any Joint, Lower Extremity; W/O Contrast Matl	Not reported	5,166	4,710	456
74177	Computed Tomography, Abdomen And Pelvis; With Contrast Material(S)	Not reported	4,467	4,191	276
70553	MRI, Brain; W/O Contrast, Then W/Contrast & Further Sequences	Not reported	3,815	3,662	153
72148	MRI, Lumbar Spine; W/O Contrast	Not reported	3,697	2,534	1,163
71250	Computed tomography, thorax, diagnostic; without contrastmaterial	Not reported	2,990	2,782	208
73221	MRI, Any Joint, Upper Extremity; W/O Contrast Matl(S)	Not reported	2,892	2,304	588
71271	Computed tomography, thorax, low dose for lung cancer screening, without kontras	Not reported	2,780	2,656	124
71260	Computed tomography, thorax, diagnostic; with contrast material(s)	Not reported	2,680	2,444	236
72141	MRI, Cervical Spine; W/O Contrast	Not reported	2,199	1,475	724
70551	MRI, Brain; W/O Contrast	Not reported	2,059	1,984	75
74183	MRI, Abdomen; W/O Contrast Matl(S) Followed By Contrast Matl(S) & Further Sequen	Not reported	1,919	1,778	141
77049	MRI BREAST C-+ W/CAD BI	Not reported	1,728	1,348	380
72197	MRI, Pelvis; W/O Contrast Matl(S), Followed By Contrast Matl(S) & Further Sequen	Not reported	1,527	1,407	120
70486	Ct Scan, Maxillofacial Area; W/O Contrast Matl	Not reported	1,386	1,331	55
78452	Myocardial Perfusion Imaging, Tomographic (Spect); Mult Studies, At Rest &/ Stre	Not reported	1,253	1,169	84
74176	Computed Tomography, Abdomen And Pelvis; Without Contrast Material	Not reported	1,221	1,143	78
95810	Polysomnography; age 6 years or older, sleep staging with 4 or more additional parameters of sleep, attended by a technologist	Not reported	1,230	942	288
70450	Ct Scan, Head/Brain; W/O Contrast Matl	Not reported	942	899	43
29881	Artrs knee surg w/meniscectomy med/lat w/shvg	Not reported	911	903	8
62323	NJX DX/THER SBST INTRLMNR LMBR/SAC W/IMG GDN	Not reported	818	763	55
64483	Injection(s), anesthetic agent(s) and/or steroid; transforaminal epidural, with imaging guidance (fluoroscopy or CT), lumbar or sacral, single level	Not reported	805	742	63
64493	Injection(s), Diag/Therapeutic Agent, Paravertebral Facet Joint or Nerves, W Image Guidance, Lumbar/Sacral; Sgl Level	Not reported	798	773	25
95811	Polysom 6/>yrs sleep w/cpap 4/> addl param attnd	Not reported	784	632	152
27447	Arthroplasty, Knee, Condyle & Plateau; Medial & Lateral Compartments, W/Wo Patella Resurfacing	Not reported	698	667	31

Data Review: Insurer 2

Code	Description	Cost	# of Requests	# of Requests Approved
0345U	Psychiatry (eg, depression, anxiety, attention deficit hyperactivity disorder [ADHD]), genomic analysis panel, variant analysis of 15 genes, including deletion/duplication analysis of CYP2D6 - Last Update 01/01/2024	1,303.85	Not provided	Not provided
36475	Endovenous ablation therapy of incompetent vein, extremity, inclusive of all imaging guidance and monitoring, percutaneous, radiofrequency; first vein treated	2,654.57	Not provided	Not provided
62323	Injection(s), of diagnostic or therapeutic substance(s) (eg, anesthetic, antispasmodic, opioid, steroid, other solution), not including neurolytic substances, including needle or catheter placement, interlaminar epidural or subarachnoid, lumbar or sacral (caudal); with imaging guidance (ie, fluoroscopy or CT	678.79	Not provided	Not provided
64483	Injection(s), anesthetic agent(s) and/or steroid; transforaminal epidural, with imaging guidance (fluoroscopy or CT), lumbar or sacral, single level	675.71	Not provided	Not provided
81162	BRCA1 (BRCA1, DNA repair associated), BRCA2 (BRCA2, DNA repair associated) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis and full duplication/deletion analysis (ie, detection of large gene rearrangements)	1,083.14	Not provided	Not provided
81256	HFE HEMOCHROMATOSIS GENE ANAL COMMON VARIANTS	98.27	Not provided	Not provided
81420	Fetal chromosomal aneuploidy (eg, trisomy 21, monosomy X) genomic sequence analysis panel, circulating cell-free fetal DNA in maternal blood, must include analysis of chromosomes 13, 18, and 21	568.43	Not provided	Not provided
81443	Genetic testing for severe inherited conditions (eg, cystic fibrosis, Ashkenazi Jewish-associated disorders [eg, Bloom syndrome, Canavan disease, Fanconi anemia type C, mucopolidosis type VI, Gaucher disease, Tay-Sachs disease], beta hemoglobinopathies, phenylketonuria, galactosemia), genomic sequence analysis panel, must include sequencing of at least 15 genes (eg, ACADM, ARSA, ASPA, ATP7B, BCKDHA, BCKDHB, BLM, CFTR, DHCR7, FANCC, G6PC, GAA, GALT, GBA, GBE1, HBB, HEXA, IKBKAP, MCOLN1, PAH)	860.8	Not provided	Not provided
81479	UNLISTED MOLECULAR PATHOLOGY PROCEDURE	1,670.69	Not provided	Not provided
87505	NFCT AGENT DNA/RNA GASTROINTESTINAL PATHOGEN	305.5	Not provided	Not provided
87798	IADNA NOS AMPLIFIED PROBE TQ EACH ORGANISM	103.97	Not provided	Not provided
87799	IADNA NOS QUANTIFICATION EACH ORGANISM	159.16	Not provided	Not provided
95810	Polysomnography; age 6 years or older, sleep staging with 4 or more additional parameters of sleep, attended by a technologist	1,321.29	Not provided	Not provided
95811	Polysomnography; age 6 years or older, sleep staging with 4 or more additional parameters of sleep, with initiation of continuous positive airway pressure therapy or bilevel ventilation, attended by a technologist	675.36	Not provided	Not provided
E0748	Osteogenesis stimulator, electrical, noninvasive, spinal applications	2522	Not provided	Not provided
J0585	Injection, onabotulinumtoxinA, 1 unit	942.37	Not provided	Not provided
J0897	Injection, denosumab, 1 mg	1,362.65	Not provided	Not provided
J1745	Injection, infliximab, excludes biosimilar, 10 mg	2,980.90	Not provided	Not provided
J2350	Injection, ocrelizumab, 1 mg	36,242.48	Not provided	Not provided
J2506	Injection, pegfilgrastim, excludes biosimilar, 0.5 mg	5,320.33	Not provided	Not provided
J3380	Injection, vedolizumab, 1 mg	9,978.09	Not provided	Not provided
J7323	Hyaluronan or derivative, Euflexxa, for intra-articular injection, per dose	111.81	Not provided	Not provided
J9217	Leuprolide acetate (for depot suspension), 7.5 mg	813.74	Not provided	Not provided
Q5103	Injection, Infliximab-dyyb, biosimilar, (Inflectra), 10 mg	4,128.39	Not provided	Not provided
Q5121	Injection, infliximab-axxq, biosimilar, (avsola), 10 mg	1,890.30	Not provided	Not provided

Data Review: Insurer 3

Code	Description	Cost	# of Requests	# of Requests Approved	Difference
Code not provided	RADIOLOGY SERVICES	\$288	4072	3,972	100
Code not provided	INPATIENT ACUTE	\$8,664	812	769	43
Code not provided	CHIROPRACTIC	\$82	664	664	0
Code not provided	PHARMACY	\$8,439	525	521	4
Code not provided	PAIN MANAGEMENT	\$388	340	339	1
Code not provided	Behavioral Health Hospital Inpatient Services - Residential, partial hospitalization, stabilization unit	\$2,315	288	287	1
Code not provided	SLEEP STUDIES	\$410	160	160	0
Code not provided	Physical Therapy	\$166	149	149	0
Code not provided	DME (DMENSION)	\$471	100	97	3
Code not provided	Behaviorial Health Outpatient Services	\$1,091	96	94	2
Code not provided	VARICOSE VEIN TREATMENT	\$725	92	91	1
Code not provided	OON OFFICE AND CLINIC VISITS	\$98	87	56	31
Code not provided	BREAST REDUCTION OUTPATIENT	\$3,226	85	72	13
Code not provided	GENETIC TESTING	\$398	80	62	18
Code not provided	HOME HEALTH CARE SKILLED NURSING	\$226	78	78	0
Code not provided	INPATIENT VAGINAL DELIVERY	\$6,419	73	73	0
Code not provided	OPHTHALMOLOGICAL SURGICAL SERVICES	\$130	69	68	1
Code not provided	OUTPATIENT SURGERY AND PROCEDURES (OTHER)	\$954	68	59	9
Code not provided	ACUPUNCTURE	\$256	67	66	1
Code not provided	OON OUTPATIENT SURGERY AND PROCEDURES	\$704	58	34	24
Code not provided	HOME HEALTH CARE SKILLED NURSING SERVICES	\$242	57	57	0
Code not provided	Occupational Therapy	\$111	56	56	0
Code not provided	INFERTILITY	\$1,057	51	51	0
Code not provided	BARIATRIC SURGERY INPATIENT	\$5,441	46	42	4
Code not provided	SKILLED NURSING FACILITY SKILLED CARE LEVEL I	\$3,187	43	35	8

Data Review: Insurer 4

Code	Description	Cost	# of Requests	# of Requests Approved
66984	CATARACT *CODE SINCE REMOVED*	\$2,017	168	Not provided
72148	MAGNETIC RESONANCE IMAGING	\$489	140	Not provided
36475	ENDOVENOUS ABLATION THERAPY	\$2,287	132	Not provided
71250	CT THORAX W/O CONTRAST	\$281	132	Not provided
70553	MRI OF BRAIN W/O & W/ CONTRA	\$828	120	Not provided
73721	MRI; ANY LOWER EXTREMITY JNT	\$639	114	Not provided
74177	CT ABD&PELV W/CONTRAST	\$678	106	Not provided
71260	CT THORAX DX W CONTRAST	\$435	102	Not provided
73221	MRI; ANY UPPER EXTREMITY JNT	\$620	95	Not provided
64483	NERVE BLOCK EPI L/S 1	\$585	84	Not provided
72141	MRI CERVICAL SPINE	\$541	80	Not provided
72197	MRI, PELVIS	\$975	80	Not provided
78452	MYOCARDIAL SPECT	\$1,106	71	Not provided
70551	MRI BRAIN	\$527	63	Not provided
78815	PET	\$1,760	60	Not provided
74177	CT THORAX DX W CONTRAST	\$681	60	Not provided
66982	CATARACT *CODE SINCE REMOVED*	\$404	56	Not provided
27447	TOTAL KNEE ARTHROPLASTY	\$19,472	49	Not provided
62323	EPIDURAL LMBR/SAC	\$608	44	Not provided
74183	MRI ABDOMEN W/O & W DYE	\$843	40	Not provided
27130	TOTAL HIP ARTHROPLASTY	\$23,094	38	Not provided
71275	CT ANGIO/CHEST	\$448	38	Not provided
64484	NERVE BLOCK SOMATIC NERVE	\$256	36	Not provided
70450	CT HEAD	\$206	34	Not provided
23472	SHOULDER JOINT RECONSTRUCTION	\$20,604	33	Not provided

Data Review:

19 of the top 25 reported codes (2+ carriers)	
73721 5,280 requests (2 carriers)	78452 1,324 requests (2 carriers)
74177 4,633 requests (2 carriers)	72148 1,303 requests (2 carriers)
70553 3,935 requests (2 carriers)	95810 1,230 requests (2 carriers)
71250 3,122 requests (2 carriers)	70450 942 requests (2 carriers)
73221 2,987 requests (2 carriers)	64483 889 requests (3 carriers, 1 did not report volume)
71260 2,782 requests (2 carriers)	62323 862 requests (3 carriers, 1 did not report volume)
72141 2,279 requests (2 carriers)	95811 784 requests (2 carriers, 1 did not report volume)
70551 2,122 requests (2 carriers)	27447 747 requests (2 carriers, 1 did not report volume)
74183 1,959 requests (2 carriers)	36475 132 requests (2 carriers, 1 did not report volume)
72197 1,607 requests (2 carriers)	Total requests = 38,919

OHIC's Powers and Duties Statute:

v) Develop and implement the use of programs that implement selective prior authorization requirements, based on stratification of health care providers' performance and adherence to evidence-based medicine with the input of contracted health care providers and/or provider organizations. Such criteria shall be transparent and easily accessible to contracted providers. Such selective prior authorization programs shall be available when health care providers participate directly with the insurer in risk-based payment contracts and may be available to providers who do not participate in risk-based contracts.

(vi) Require the review of medical services, including behavioral health services, and prescription drugs, subject to prior authorization on at least an annual basis, with the input of contracted health care healthcare providers and/or provider organizations. Any changes to the list of medical services, including behavioral health services, and prescription drugs requiring prior authorization, shall be shared via provider-accessible websites;

(vii) Improve communication channels between health plans, health care providers, and patients by:

A) Requiring transparency and easy accessibility of prior authorization requirements, criteria, rationale, and program changes to contracted health care providers and patients/health plan enrollees which may be satisfied by posting to provider accessible and member accessible websites; and

OHIC's Powers and Duties Statute:

B) Supporting:

(I) Timely submission by health care providers of the complete information necessary to make a prior authorization determination, as early in the process as possible; and

(II) Timely notification of prior authorization determinations by health plans to impacted health plan enrollees, and health care providers, including, but not limited to, ordering providers, and/or rendering providers, and dispensing pharmacists which may be satisfied by posting to provider accessible websites or similar electronic portals or services; and

(viii) Increase and strengthen continuity of patient care by:

(A) Defining protections for continuity of care during a transition period for patients undergoing an active course of treatment, when there is a formulary or treatment coverage change or change of health plan that may disrupt their current course of treatment and when the treating physician determines that a transition may place the patient at risk; and for prescription medication by allowing a grace period of coverage to allow consideration of referred health plan options or establishment of medical necessity of the current course of treatment;

OHIC's Powers and Duties Statute:

(B) Requiring continuity of care for medical services, including behavioral health services, and prescription medications for patients on appropriate, chronic, stable therapy through minimizing repetitive prior authorization requirements; and which for prescription medication shall be allowed only on an annual review, with exception for labeled limitation, to establish continued benefit of

(C) Requiring communication between health care providers, health plans, and patients to facilitate continuity of care and minimize disruptions in needed treatment which may be satisfied by posting to provider-accessible websites or similar electronic portals or services;

(D) Continuity of care for formulary or drug coverage shall distinguish between FDA designated interchangeable products and proprietary or marketed versions of a medication.

(ix) Encourage health care providers and/or provider organizations and health plans to accelerate use of electronic prior authorization technology, including adoption of national standards where applicable.

Provider Response to Statute Provisions:

(v) Develop and implement the use of programs that implement selective prior authorization requirements, based on stratification of health care providers' performance and adherence to evidence-based medicine with the input of contracted health care providers and/or provider organizations

What we heard:

"Such criteria shall be transparent and easily accessible to contracted providers". I feel this needs to be determined on who determines if this is met as the companies currently don't give any information and just deny.

Why is prior auth needed in risk bearing contract? Isn't cost already part of the performance formula? Don't downward adjustments/performance payments negate the roll of prior auth? In lieu of case by case prior auth, can audits of compliance with clinical guidelines be used as a quality metric? It sounds like this is describing "gold carding." I would think this would be the option offered for non-risk bearing (FFS) providers. I agree with the need for transparency regarding the criteria for gold card status and I expect the bar will need to be quite high. Again, this determination may need to be based on audits of compliance with established clinical guidelines.

Provider Response to Statute Provisions:

(vi) Require the review of medical services, including behavioral health services, and prescription drugs subject to prior authorization on at least an annual basis, with the input of contracted health care providers and/or provider organizations. Any changes to the list of medical services, including behavioral health services, and prescription drugs requiring prior authorization, shall be shared via provider accessible websites.

What we heard:

This sounds good. It might represent a bit of an administrative burden. Who are the contracted health care providers and provider organizations that will be part of these yearly reviews? Representatives from each relevant discipline should probably be considered stakeholders. People with an awareness of the positions of national or state specialty societies should be included. While I support this provision in general, it may prove difficult to consistently convene the right stakeholders.

Provider Response to Statute Provisions:

(vii) Improve communication channels between health plans, health care providers and patients by:

(A) Requiring transparency and accessibility of PA requirements, criteria, rationale, and program changes to providers, patients and enrollees to provider/patient accessible websites

What we heard:

The more this can be centralized the better. Perhaps OHIC or EOHHS could serve as a repository for these policies. This would allow providers/patients one site to visit. Conversely, it isn't realistic to expect providers to navigate the web sites of multiple payors. More importantly, centralizing the PA requirements, criteria, rationale and program changes would serve to hold the payors accountable to applicable RI state legislation/policies. CMS is moving towards FHIR. All payors should be required to use compatible technology and CMS policies should be considered a bare minimum template for commercial variations.

Provider Response to Statute Provisions:

(vii) Improve communication channels between health plans, health care providers and patients by:

(B) (I) Supporting timely submission by health care providers of the complete information necessary to make PA determination as early in the process as possible.

What we heard:

See above. Perhaps technology can facilitate this.

(B) (II) Supporting timely notification of prior authorization determination by health plans to health plan enrollees, impacted providers but not limited to, ordering providers and/or rendering providers, and dispensing pharmacists by posting to provider accessible websites or similar electronic portals.

What we heard:

The understanding is that the goal of FHIR is to make this real time within a CCHIT EHR. Posting to a variety of separate websites does not seem like a reasonable option.

Provider Response to Statute Provisions:

(viii) Increase and strengthen continuity of patient care by:

(A) Defining protections for continuity of care during a transition period for patients undergoing an active course of treatment, when there is a formulary or treatment coverage change or change of health plan that may disrupt their current course of treatment and when the provider determines that a transition may place the patient at risk; and for prescription medication by allowing a grace period of coverage to allow consideration of referred health plan options or establishment of medical necessity of the current course of treatment.

What we heard:

Who is responsible for communicating with the patient when there is a formulary or treatment coverage change or change of health plan that may disrupt their current course of treatment? The contract regarding coverage is between the patient and their insurance company. From a provider's perspective, these are unpleasant, long conversations that we often don't agree with. Basically, we are being forced into a situation that is damaging to our provider/patient relationships and takes a lot of our time in order to do the bidding of the insurance company. I personally don't feel there is compensation that makes this something I want to do.

Provider Response to Statute Provisions:

(viii) Increase and strengthen continuity of patient care by:

(B) Requiring continuity of care for medical services, including behavioral health services, and prescription medications for patients on appropriate, chronic, stable therapy through minimizing repetitive PA requirements; and which for prescription medication shall be allowed only on an annual review, with exception for labeled limitation, to establish continued benefit of treatment.

What we heard:

Is there an option to continue established care indefinitely, or only until the next annual review? Will the payor instituting the policy change offer the affected beneficiary education as to why the new treatment is better than their established treatment?

Provider Response to Statute Provisions:

(viii) Increase and strengthen continuity of patient care by:

(C) Requiring communication between health care providers, health plans, and patients to facilitate continuity of care and minimize disruptions in needed treatment which may be satisfied by posting to provider accessible websites or similar electronic portals.

What we heard:

There needs to be a line that also focuses on patient communication by the insurance. Many of my patient's have gotten approvals. They get called once by the specialty pharmacy and if they don't answer are never contacted again and therefore are approved but the medication gets delayed until they see me next and my MA contacts the specialty pharmacy.

Next Steps:

- Draft Report Discussion (Tentative Meeting)
 - Ongoing Public Body
- Final Report of Recommendations to the General Assembly June 30, 2024

Public Comment:



Thank you

**RHODE
ISLAND**